

POLICY FOR THE MANAGEMENT OF CONFLICTS OF INTEREST



This Policy for the Management of Conflicts of Interest has been updated at APRIL 2024 and shall remain effective until a more recent version is released. TCA FOREX PROPRIETARY LIMITED trading as **TCA MARKETS** ("TCA MARKETS") reserves the right to amend or supplement this Document at any time. This Document does not replace our Terms and Conditions The "Agreement") which we ask that you read carefully before you enter into any trading. The prevailing version of this Document is always available on our website <u>www.tcamarkets.com</u>.

Note: The English version of this agreement is the governing version and shall prevail whenever there is any discrepancy between the English version and the other versions.

The TCAmarkets.com website is operated by TCA FOREX (PTY) LTD trading as TCA MARKETS (hereinafter referred to as "TCA MARKETS"), with company registration number: 2024/056076/07, located at 43 Pretoria Road, Bela Bela, 0480, an authorised Juristic Representative of North Pacific (Pty) Ltd, an Authorised Financial Services Provider, regulated by the Financial Sector Conduct Authority (FSCA), under FSP license number, FSP 53342. Finalto SA (Pty) Ltd which is regulated by the Financial Sector Conduct Authority (FSCA) under FSP license number, FSP 46860 and licensed to operate as an Over The Counter Derivatives Provider (ODP) in terms of the Financial Markets Act no.19 of 2012, are the payment operators of TCA MARKETS, as governed by the laws of the Republic of South Africa. TCA MARKETS is required to provide information on the Company's policy on conflicts of interest and its approach to managing any conflicts of interest fairly: both between TCAmarkets.com our directors, employees and our clients, and between your interests and those of other clients.

DEFINITION OF CONFLICT OF INTEREST

TCA MARKETS defines a conflict of interest as any situation where either TCA MARKETS or an individual is in a position to exploit a professional or official capacity in some way for either corporate or personal benefit.

CONFLICT OF INTEREST MEASURES

Extensive measures have been adopted by TCA MARKETS to prevent, control and manage the exchange of information between relevant persons engaged in activities involving a risk of a conflict of interest. For example, TCA MARKETS has removed any direct link between the remuneration of relevant persons principally engaged with one activity and the remuneration of different relevant persons principally engaged in another activity.

DISCLOSURE OF CONFLICT OF INTEREST

When the measures taken by TCA MARKETS to manage conflicts of interest are not sufficient to ensure, with reasonable confidence, that the risk of damage to clients' interests will be prevented, TCA MARKETS will disclose the conflicts of interest to the client in order to prevent a risk of damage to clients' interests. Prior to carrying out a transaction or providing an investment or an ancillary service to a client, the Company must disclose any actual or potential conflict of interest. The disclosure will be in sufficient time, in a durable means.

RECORD KEEPING

TCA MARKETS maintains a record, which is regularly updated, of the kinds of investments and ancillary services or investment activities carried out by TCA MARKETS or on its behalf in which a conflict of interest entailing a material risk of damage to the interests of one or more clients has arisen.